

06-12-01

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# UTILITY PATENT APPLICATION TRANSMITTAL

CHECK BOX, if applicable: ☐ DUPLICATE  
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.:

09792909-5113

First Named Inventor:

Hideshi ABE.

Title of Invention:

Semiconductor Apparatus, Manufacturing  
Method Therefor, Solid State Imaging  
Device and Manufacturing Method  
Therefor

Express Mail Label No.:

EL654782275US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents  
BOX Patent Application  
Washington, DC 20231

1. ☒ This Form includes the Fee Transmittal (See Box 18)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status. (See 37 CFR 1.27)
3. ☒ Specification Total Pages 38  
(37 pages and 1 cover page)
4. ☒ Drawing(s) (35 USC 113) Total Sheets 14  
(Figs. 1-16H)
5. ☒ Declaration and Power of Attorney Total Pages 2
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
  - c. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting Inventor(s)  
named in the prior application, see  
37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76
7. ☐ CD-ROM or CD-R in duplicate
8. ☐ Nucleotide and/or Amino Acid Sequence Submission
  - a. ☐ Computer Readable Form (CRF)
  - b. ☐ Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R in duplicate; or
    - ii. ☐ paper
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s)) Total Pages 2
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy of Assignment Papers from a prior application
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS) is enclosed:
  - a. ☒ IDS By Applicant (PTO/SB/08A or PTO-1449)
  - b. ☒ Copies of IDS Citations are enclosed
  - c. ☐ Copy IDS Citations from a prior application
13. ☒ Preliminary Amendment "A" to  
1.53(b) DIVISIONAL Total Pages 3
14. ☒ Return Receipt Postcard (properly addressed; postage prepaid)
15. ☒ Certified copy of Japanese Priority Document:  
Document No. P8-296938, filed on November 8, 1996 in  
parent application. Copy of cover sheet enclosed.
16. ☐ Request and Certification under 35 USC 122
  - a. ☐ Non-publication Request (PTO/SB/35 or equivalent)
  - b. ☐ Publication Request (PTO/SB/35 or equivalent)
17. ☒ Other:
  - a. ☒ Express Mail Certificate (No. EL654782275US)

18. ☒ CONTINUING APPLICATION. If continuing application, check appropriate box and supply the requisite information:
  - a. ☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 08/965,980
  - b. ☒ Prior application information: Examiner Name: E. ABRAHAM Group Art Unit: 2826
  - c. ☒ The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE \$710.00
	TOTAL CLAIMS	4	0	\$18.00	\$0.00
	INDEPENDENT CLAIMS	2	0	80.00	\$0.00
		ANY MULTIPLE DEPENDENT CLAIMS? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO		270.00	0.00
				TOTAL FILING FEE	\$710.00

- d. ☒ In connection with this application, the Commissioner is hereby authorized to credit overpayments or to charge any additional fee required to Deposit Account No. 19-3140. A duplicate copy of this sheet is enclosed.
- e. ☒ A check including the amount of \$710.00 to cover the filing fee is enclosed.
- f. ☐ A check including the amount of \$0.00 to cover the claims fees is enclosed.

19. ☒ CORRESPONDENCE ADDRESS:  
**SONNENSCHN NATH & ROSENTHAL**  
P.O. Box 061080  
Wacker Drive Station, Sears Tower  
Chicago, IL, USA 60606-1080

DATE: June 11, 2001

SIGNATURE

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